



NEW YORK STATE TEACHERS' RETIREMENT SYSTEM
10 Corporate Woods Drive, Albany, NY 12211-2395

CERTIFICATION OF TRUST FOR BENEFICIARY DESIGNATIONS

I, _____, EmplID _____, have designated _____ (name) _____ (the "Trust") dated _____ (date of Trust) as beneficiary of my death benefit, a lump sum under an optional form of retirement elected by me at retirement, or other lump sum payment due from the System following my death, as applicable. In accordance with the requirements of the Internal Revenue Service, I hereby certify as follows:

- 1. Attached to this certification and furnished with it is a list which is, to the best of my knowledge, a true, correct and complete list of all the beneficiaries of the trust (including any contingent or remaindermen beneficiaries with a description of the conditions of their entitlement).
2. The trust is a valid trust under state law, or would be but for the fact that there is no corpus.
3. The trust is irrevocable or will, by its terms, become irrevocable upon my death.
4. The beneficiaries of the trust who are beneficiaries with respect to the trust's interest in my benefit are identifiable from the trust instrument.
5. If the trust instrument is ever amended at any time after the date of this certification, I will, within a reasonable time, provide the System with corrected certification(s) to the extent that the amendment changes any of the information to which I have previously certified.
6. I agree to provide a copy of the trust instrument to the System upon demand.
7. The name(s) and address(es) of the successor trustee(s) is/are as follows: (attach a separate sheet, if necessary)

** This form must be signed and acknowledged before a Notary Public in order to be valid **

Signature of Member

State of _____, County of _____
On this _____ day of _____ in the year _____ before me personally appeared _____, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument, and acknowledged to me that they executed the same in their capacity, and that by their signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

NOTARY PUBLIC (Please sign and affix stamp):

Printed Name of Notary:

INSTRUCTIONS FOR COMPLETING CERTIFICATION OF TRUST FORM

Internal Revenue Code §401(a)(9) requires this certification to be completed and submitted along with any beneficiary designation that designates a trust as the beneficiary of a death benefit, lump sum survivor option selected at retirement or other lump sum payment by the System.

This form is not required if the member and trustee respectively have provided the System with a true copy of the entire trust instrument. In such circumstances, the member and trustee shall be deemed to have agreed and shall be obligated, whenever such instrument is amended at any time, to provide a true copy of such amendment to the System.

Instructions for completing the form are as follows:

1. Please type or print in blue or black ink.
2. Please complete the form with your name, EmplID, and the title of your Trust.
3. Please provide the name(s) and address(es) of the successor trustee(s) named under your Trust. This information is particularly necessary if you have named yourself as the original trustee or should the original trustee predecease you. Attach a separate sheet if additional space is needed.
4. This form must be notarized.